



Applying for an AFSL?

An AFSL application or variation can be complex and time consuming, especially for people new to the process.

But it doesn't need to be.

Our team has seamlessly processed multiple applications and variations for clients, allowing them to focus on the other fundamentals of establishing and building a successful financial services business.

Ongoing assistance

Obtaining your AFSL is just the beginning.

Keeping up with compliance obligations and ongoing changes to the regulatory environment can derail any financial services business, taking valuable resources away from your business and reducing the time you have for your clients.

We can help you.

Our comprehensive AFSL Compliance Support Service provides a risk management solution that is more than just an online portal. Behind it are our financial services specialists with real world experience delivering legal advice that is practical and commercial.

For assistance with your compliance obligations or to subscribe to our online AFSL Compliance Support Service, please contact our Financial Services team.

Expertise

- Advice on compliant structures and licensing requirements including preparation and review of financial services licence applications and variations
- Compliance and risk frameworks, as well as ongoing risk and compliance training and support
- Financial services regulatory advice for established and mature businesses, FinTech start-ups or new initiatives
- Preparation and review of disclosure documents including product disclosure statements, financial services guides, statements of advice, annual disclosure statements and other disclosure documents
- Superannuation advice, licensing and exemptions
- Applications for relief, modifications or exemptions, including for unusual or innovative structures.

Our Team



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the Professional Standards Legislation

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